

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: David Baltimore and Matthew Porteus

Application No./Patent No.: 10/656,531 Filed/Issue Date: September 5, 2003

Entitled: **USE OF CHIMERIC NUCLEASES TO STIMULATE GENE TARGETING**

California Institute of Technology, a university
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015342, Frame 0951, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
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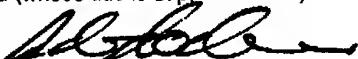
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Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Dec. 11, 2007

Date

Adam Cochran

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Printed or Typed Name

Telephone Number

Intellectual Property Counsel, California Institute of Technology

Title